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31057 U.S. PTO

02-C1-01

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IN THE UNITED STATES PATENT AND TRADEMARK

Box New Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No.: 16503-302501

jc997 U.S. PTO
09/918600
07/30/01

FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of : Ping-sheng TSENG, Yogesh GOEL, Su-Jen HWANG, James LEE and Jun-Hsu SHEN

For: **"BEHAVIOR PROCESSOR SYSTEM AND METHOD"**

ENCLOSURES

- ☒ 307 page application including specification, claims and abstract;
 - ☒ 109 sheets (Figs. 1 - 105) of ☐ informal/☒ formal drawings;
 - ☒ A Declaration, Power of Attorney & Petition (☒ signed/☐ unsigned);
 - ☒ A postcard for return to us as proof of receipt of the referenced documents.
- and**
- ☒ An Assignment of the invention with an assignment cover sheet;
 - ☐ Applicant claims small entity status (Under 37 CFR 1.27);
 - ☐ IDS (form PTO-1449) and copies of references;
 - ☐ An Associate Power of Attorney;
 - ☐ Two (2) certified copies of the priority documents (Under 35 USC 119);
 - ☐ A Power of Attorney by Assignee;
 - ☒ Other: Request and Certification Under 35 USC 122(b)(2)(B)(i).

TYPE OF FILING

- ☒ This application claims the benefit of an earlier filed U.S. Patent Application, Serial No. 09/900,124 filed July 6, 2001 (35 USC 120).
- ☐ This application claims the benefit of the priority date of an earlier filed U.S. Provisional Patent Application Serial _____, filed _____ (35 USC 119).
- ☐ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL745057365 US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on July 30, 2001 by Yvette Yturralde-Owen.

Date: July 30, 2001

Yvette Yturralde-Owen

FEE CALCULATION

The filing fee has been calculated as shown below:

	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent	\$160		\$0	\$320	\$0
BASIC FEE Utility Patent	\$355		\$355	\$710	\$0
EXTRA FEES	RATE		\$0	RATE	FEE
Total claims	37	minus 20 =	17	x9 =	\$153
Independent Claims	4	minus 3 =	1	x40 =	\$40
<input type="checkbox"/> Multiple Dep. Claim				+130 =	\$0
<input checked="" type="checkbox"/> Assignment				+40 =	\$40
<input type="checkbox"/> Rule 53 Surcharge				+65 =	\$0
TOTAL					\$588

FEE PAYMENT


- ☒ Attached is Check No. 126242 in the sum of \$588.⁰⁰ for the cost of the filing fee , claims and recordation fee.
- ☐ Please charge Account No. 02-3964 the sum of \$ _____

FEE DEFICIENCY

- ☐ Please charge Account No. 02-3964 in the sum of \$ _____
- ☐ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 02-3964:
- ☐ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
 - ☐ Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 02-3964.
- ☐ A duplicate copy of this sheet is enclosed.

Dated: July 30, 2001

Respectfully submitted,


 Chien-Wei (Chris) Chou
 Reg. No: 41,672

OPPENHEIMER WOLFF & DONNELLY LLP
 Customer No. 25696

REQUEST AND CERTIFICATION

UNDER 35 U.S.C. 122 (b)(2)(i)

First Named Inventor: Ping-sheng TSENG

Title: **BEHAVIOR PROCESSOR SYSTEM AND METHOD**

Atty Docket No.: 16503-302501

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date: July 30, 2001



Chien-Wei (Chris) Chou

Reg No. 41,672

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this non-publication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If an applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent & Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(iii)).**

CERTIFICATE OF MAILING (37 CFR 1.10(A))

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Date: July 30, 2001


Yolette Yturralde-Owen